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In Place of FORM ~~PCT-1449~~ (Mandatory)

Serial No. 10/022,157

Applicant: Brown et al.

Filing Date: 12/17/2001

Group: 2161

Atty. Docket No. AUS920010843US1

Technology Center 2100

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

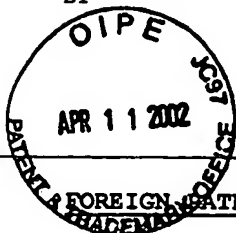
Reference Designation

U. S. PATENT DOCUMENTS

<u>Examiner Initial</u>	<u>Document Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub- class</u>	<u>Filing Date if Appropriate</u>
<u>MC</u>	AA US 5,499,288	3/12/96	Simultaneous Voice Recognition and Verification to Allow Access to Telephone Network Services	379	88	3/22/94
<u>MC</u>	AB US 5,673,404	09/30/97	End-User Customizable Feedback Display for Windowed Applications	395	347	12/20/95
<u>MC</u>	AC US 5,790,637	8/4/98	Extended Voice Messaging	379	67	2/16/96
<u>MC</u>	AD US 5,915,001	6/22/99	System and Method for Providing and Using Universally Accessible Voice and Speech Data Files	379	88.22	11/14/96
<u>MC</u>	AE US 5,940,476	8/17/99	System and Method for Identifying an Unidentified Caller	379	88.02	6/28/96
<u>MC</u>	AF US 5,946,654	8/31/99	Speaker Identification Using Unsupervised Speech Models	704	246	2/21/97
<u>MC</u>	AG US 6,038,305	3/14/00	Personal Dial Tone Service with Personalized Caller ID	379	207	8/1/97
<u>MC</u>	AH US 6,058,364	5/2/00	Speech Recognition of Customer Identifiers Using Adjusted Probabilities Based on Customer Attribute Parameters	704	252	11/20/97
<u>MC</u>	AI US 6,101,242	8/8/00	Monitoring for Key Words with SIV to Validate Home Incarceration	379	88.02	12/23/97

GC AJ US 6,122,357 9/19/00 Providing Enhanced 379 207 4/8/98  
Services Through Double  
SIV and Personal Dial  
Tone

GM AK US 6,178,230 1/23/01 System and Method for 379 67.1 11/13/97  
B1 Identifying a Callee of  
an Incoming Telephone  
Call



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Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation
<u>MC</u> AL	JP 10294784A	11/04/98	Japan	HO4M1	57	No
<u>PC</u> AM	JP 8139797A	05/31/96	Japan	HO4M1	274	No
<u>GC</u> AN	EP 0585004A2	03/02/94	European	HO4M3	44	Yes
<u>GC</u> AO	EP 0676882A2	10/11/95	European	HO4M1	27	Yes

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner Initial				
<u>GM</u> AP	WPAT	Derwent	1994-067503	
<u>GC</u> AQ	WPAT	Derwent	1995-346415	
<u>GC</u> AR	WPAT	Derwent	1996-315499	
<u>GM</u> AS	WPAT	Derwent	1999-031530	

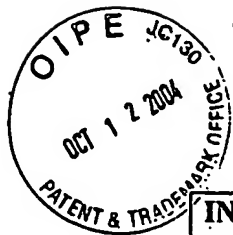
Examiner:

S. Cangielesi

Date Considered:

2/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

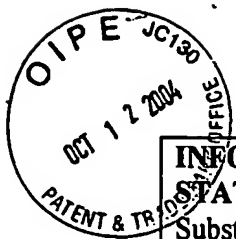


<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> Substitute for Form 1449/PTO  Sheet <u>1</u> of <u>2</u>	In re application of: Brown et al. Serial No.: 10/022,159 Confirmation Number: 4011 Filed: 12/17/2001 Art Unit: 2161 Docket: AUS920010843US1
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**US PATENT DOCUMENTS**

Examiner Initials	Document Number	Publication Date	Patentee/ Applicant	Classification
<i>gpc</i>	US - 5,206,899	04/1993	Gupta, et al.	379/120
<i>gpc</i>	US - 5,381,467	01/1995	Rosinski et al	379/121.01
<i>gpc</i>	US - 5,602,907	02/1997	Hata et al. <i>Goldfab</i>	379/114.22
<i>gpc</i>	US - 5,754,663	05/1998	<del>Lewy, Robert Bergman</del>	<del>379/114.21</del> <i>38/82</i>
<i>gpc</i>	US - 5,805,680	09/1998	Penzias, Arno Allan	379/118
<i>gpc</i>	US - 5,822,411	10/1998	Swale et al.	379/114.22
<i>gpc</i>	US - 5,859,900A	01/1999	Bauer et al.	379/114.21
<i>gpc</i>	US - 5,872,834	02/1999	Teitelbaum, Neil	379/93.03
<i>gpc</i>	US - 5,943,405	08/1999	Morikawa et al.	379/118
<i>gpc</i>	US - 5,978,450	11/1999	McAllister et al.	379/88.02
<i>gpc</i>	US - 6,047,051	04/2000	Ginzboorg et al.	379/130
<i>gpc</i>	US - 6,195,419	02/2001	Gilboy, Christopher P.	379/114.26
<i>gpc</i>	US - 6,282,274	08/2001	Jain et al.	379/114.26
<i>gpc</i>	US - 6,324,269	11/2001	Malik, Dale W.	379/114.23
<i>gpc</i>	US - 6,327,346B1	12/2001	Infosino, William J.	379/88.02
<i>gpc</i>	US - 6,381,317	04/2002	Bala et al.	379/114.23
<i>gpc</i>	US - 6,546,238	04/2003	Nightingale, et al.	455/406
<i>gpc</i>	US - 2003/0108163	06/2003	Brown et al.	379/88.01
<i>gpc</i>	US - 2003/0108160	06/2003	Brown et al.	379/88.01
<i>gpc</i>	US - 2003/0108163	06/2003	Brown et al.	704/273
<i>gpc</i>	US - 2003/0108158	06/2003	Brown et al.	379/88.01
<i>gpc</i>	US - 2003/0108161	06/2003	Brown et al.	379/88.01
<i>gpc</i>	US - 2003/0108159	06/2003	Brown et al.	379/88.01
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<i>gpc</i>	US - 2003/0112935	06/2003	Brown et al.	379/114.21
<i>gpc</i>	US - 2003/0114142	06/2003	Brown et al.	455/408
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<i>gpc</i>	US - 2003/0115139	06/2003	<del>Brown et al.</del> <i>Hunley et al</i>	<del>455/408</del> <i>705/40</i>
<i>gpc</i>	US - 6,603,843	08/2003	Hagemann, Joachim	379/111
<i>gpc</i>	US - 6,639,977	10/2003	Swope et al.	379/114.21

Examiner Signature	<i>S. Campbell</i>	Date Considered	<i>2/05</i>
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
Substitute for Form 1449/PTO

Sheet 2 of 2

In re application of: Brown et al.  
Serial No.: 10/022,159  
Confirmation Number: 4011  
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Art Unit: 2161  
Docket: AUS920010843US1

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Line	Foreign Document Number/Type	Publication Date	Patentee/ Applicant	Classification	Trans

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials	Line	Author, Title, Type, Date, Pages, Volume/Issue, Publisher, City/Country where Published	Trans

Examiner Signature	Date Considered
<i>S. Cragg/bsr</i>	<i>2/05</i>